- 1. B a!!"#\$at#on f#"ed on % &e!tem'e( 2015) t\*e A!!"#\$ant) a staff mem'e( of t\*e Un#ted Nat#ons +#g\* Comm#ss#one( fo( ,efugees -.UN+C, /0) \$ontests t\*e de\$#s#on' t\*e +#g\* Comm#ss#one( not to !(omote \*#m f(om t\*e P1% to t\*e P15 "e2e" du(#ng t\*e 2013 P(omot#ons &ess#on.
- 2. 3t #s noted t\*at t\*e fa\$ts and g(ounds of a!!ea" #n t\*#s matte( a(e 2e( s#m#"a( to t\*ose #n Case No. UNDT/GVA/2015/165 -, od(#gue4!V#5ue40) 6\*#\$\* 6as \*ea(d 7o#nt" 6#t\* t\*e !(esent \$ase. Pa(ts of Judgment UNDT/2016/030) de"#2e(ed on 1% A!(#" 2016) a(e (e!eated #n t\*#s Judgment.
- 3. T\*e A!!"#\$ant 7o#ned UN+C, #n A!(#" 1883 as , e!at(#at#on 9ff#\$e(-Pl30 #n Add#s A'a'a) : t\*#o!#a) unde( a s\*o(tlte(m a!!o#ntment. ; o""o 6#ng t\*e e<!#( of t\*#s and a su'se5uent s\*o(tlte(m a!!o#ntment) \*e 6as (e\*#(ed #n &e!tem'e( 1885 as P(og(amme 9ff#\$e( -Pl30 #n J#7#ga) : t\*#o!#a) 6\*e(e \*e su'se5uent" se(2ed as , e!at(#at#on 9ff#\$e( -Pl30 unt#" \*#s (eass#gnment #n Ju" 1888 to P(eto(#a) &out\* Af(#\$a) as , eg#ona" Du(a'"e &o"ut#ons 9ff#\$e( -Pl30.
- %. 3n Janua( 2000) t\*e A!!"#\$ant 6as g(anted an #ndef#n#te a!!o#ntment. Bet 6 een Ju" 2000 and August 2001) \*e a\$ted as Ass#stant , e!(esentat#2e -P1%0 #n P(eto(#a) fo( 6\*#\* \*e (e\$e#2ed a &!e\$#a" Post A""o 6an\$e -.&PA/0. 3n No2em'e( 2003) \*e 6as (eass#gned to Ad7uman#) Uganda) as +ead of &u'19ff#\$e -P1%0. +e (e\$e#2ed an &PA unt#" \*#s !(omot#on to t\*e P1% "e2e" #n De\$em'e( 200%. As f(om Ju" 2005) \*e se(2ed as +ead of f(

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6.

18. 9n 1B = a(\$\* 2015) t\*e A!!"#\$ant su'm#tted to t\*e De!ut +#g\* Comm#ss#onme

## 26. T\*e A!!"#\$antAs !(#n\$#!a" \$ontent#ons a(eC

- a. T\*e UN+C, !(omot#ons me\$\*an#sm enta#"s t\*at t\*e UN+C, staff mem'e(s) 6\*o fo\$us on t\*e#( 6o(@ (at\*e( t\*an on ad2an\$ement) \$ede a deg(ee of \$ont(o" o2e( t\*e#( \$a(ee() 6\*#\*\* ot\*e( staff mem'e(s (eta#n fu"" #n o(gan#4at#ons 6#t\* a (an@ #n !ost s stem. 3n (etu(n) #t #s #n\$um'ent u!on t\*e UN+C, to !ut #n !"a\$e effe\$t#2e) fa#( and t(ans!a(ent !(o\$edu(es fo( (e2#e6#ng #ts staff mem'e(sA \$and#da\$ fo( !(omot#onI
- '. B fa#"#ng to suff#\$#ent" def#ne t\*e t\*(ee e2a"uat#on \$(#te(#a fo( t\*e &e\$ond ,ound) name" .!e(fo(man\$e/) .manage(#a" a\$\*#e2ements/ and .e<em!"a( "eade(s\*#! 5ua"#t#es/) set o'7e\$t#2e standa(ds and a"#gn #tse"f 6#t\* t\*e !e(fo(man\$e a!!(a#sa" !o"#\$ ) t\*e P(omot#ons Po"#\$ d#d not a""o 6 fo( a fa#( and t(ans!a(ent \$om!a(at#2e assessment of t\*e \$and#datesI
- \$. T\*e a('#t(a(#ness (esu"t#ng f(om t\*e fa#"u(e to def#ne t\*e e2a"uat#on \$(#te(#a 6as e<a\$e('ated ' t\*e (e2#e6 me\$\*an#sm) 6\*#\$\* enta#"ed t\*at ea\$\* of t\*e s#< &PP mem'e(s \*ad to (e2#e6 t\*e fa\$t s\*eet of 1>0 e"#g#'"e ma"e staff mem'e(s) t\*us \*a2#ng to (ead t\*ousands of !ages) and (an@ t\*em #n o(de( aga#nst ea\$\* ot\*e( o2e( t\*e \$ou(se of on" a fe 6 da sI
- d.  $T^*e D+$ , = As de\$#s#on to d#2#de \$and#dates ' gende( #n t\*e &e\$ond , ound d#d not \$onfo(m  $6#t^*$  t\*e P(omot#ons Po"#\$ and ma \*a2e !(e2ented t\*e A!!"#\$ant f(om ad2an\$#ng to t\*e T\*#(d , oundI
- e. T\*e D+, = As de\$#s#on not to !(o2#de t\*e !ane" mem'e(s 6#t\* t\*e \$and#datesA elPADs o( elPADs (at#ngs !(e2ented t\*em f(om ta@#ng #nto a\$\$ount (e"e2ant #nfo(mat#on) and \$onst#tutes a !(o\$edu(a" e((o( #n t\*e #m!"ementat#on of t\*e P(omot#ons Po"#\$ I
- f. B ad2#s#ng t\*e !ane" mem'e(s to \$ons#de( as a dete(m#nat#2e fa\$to( #n t\*e#( (an@#ng t\*e \$and#datesA su#ta'#"#t fo( !"a\$ement to a !ost at a P15 "e2e" #n t\*e#( (es!e\$t#2e a(ea of (es!ons#'#"#t ) t\*e D+, = #nt(odu\$ed an add#t#ona" \$(#te(#on not (ef"e\$ted #n t\*e P(omot#ons Po"#\$) I

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#. , es\$#ss#on of t\*e \$ontested de\$#s#on and (et(oa\$t#2e g(ant of !(omot#onI

##. 3n t\*e a"te(nat#2e) \$om!ensat#on e5u#2a"ent to t\*e d#ffe(en\$e #n sa"a( 'et6een \*#s \$u((ent sa"a( and \*#s sa"a( on

- g. 3n (es!e\$t of t\*e (emed#es soug\*t) t\*e , es!ondent su'm#ts t\*at e2en #f t\*e T(#'una") 6e(e to f#nd t\*at t\*e !(omot#ons !(o\$ess 6as ta#nted ' an s\*o(t\$om#ngs) t\*ese s\*ou"d not "ead to t\*e (es\$#ss#on of t\*e \$ontested de\$#s#on as t\*e A!!"#\$ant \*ad no .s#gn#f#\$ant/ o( .fo(eseea'"e/ \$\*an\$e fo( !(omot#on) fo( t\*e (easons set out a'o2eI
- \*. ; u(t\*e(mo(e) t\*e A!!"#\$antAs \$"a#m fo( \$om!ensat#on e5u#2a"ent to t\*e d#ffe(en\$e #n sa"a( 'et6een \*#s \$u((ent sa"a( and \*#s sa"a( on !(omot#on fo( t6o ea(s #s 6#t\*out me(#t as t\*e #m!"ementat#on of an !(omot#on 6ou"d (e5u#(e t\*e A!!"#\$ant to f#(st o'ta#n a !os#t#on at t\*e P15 "e2e") 6\*#\$\*

  \*ad not \*a!!ened at t\*e t#me of t\*e (e!" . Add#t#ona"" ) g#2en t\*at t\*e A!!"#\$ant #s e"#g#'"e fo( !(omot#on du(#ng t\*e 201% P(omot#ons &ess#on) 6\*#\$\* #s ongo#ng) \*e \$annot 'e a6a(ded \$om!ensat#on fo( mo(e t\*an one ea(I
- #. T\*e A!!"#\$antAs \$"a#m fo( mo(a" damages #s a"so 6#t\*out me(#t g#2en t\*at \*e \*as addu\$ed no e2#den\$e of \*#s a""eged emot#ona" d#st(essI
- 7. ; #na"" ) t\*e A!!"#\$antAs \$"a#m fo( #nte(ests #s e<\$ess#2e and un7ust#f#edI
- @. Conse5uent" ) t\*e , es!ondent (e5uests t\*e T(#'una") to (e7e\$t t\*e a!!"#\$at#on #n #ts ent#(et .
- 2B. Befo(e e<am#n#ng t\*e a""eged e((o(s #n t\*e \$ontested de\$#s#on) t\*e T(#'una" \$ons#de(s #t a!!(o!(#ate to g#2e a '(#ef o2e(2#e6 of t\*e P(omot#ons Po"#\$) 6\*#\$\* #s un#5ue to t\*e UN+C, and stems f(om #ts .(an@ #n !e(son/s stem. T\*#s Po"#\$ \*as 'een a!!"#ed fo( t\*e f#(st t#me #n t\*e 2013 P(omot#ons &ess#on and fundamenta"" de!a(ts f(om t\*e !(e2#ous !o"#\$ as staff mem'e(s a(e no "onge(g#2en a !o#ntl'ased s\$o(#ng 'ut (at\*e( su'7e\$ted to a \$om!a(at#2e assessment among ea\$\* ot\*e( ' a !ane" \$om!osed of sen#o( staff mem'e(s of t\*e UN+C, . J \*#"st some of t\*#s T(#'una"As !(e2#ous \*o"d#ngs #n (es!e\$t of t\*e UN+C, .)

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, ound/) fo""o  $6 \text{\#ng} \ 6 \text{\#}\$ *$  .t\*e Pane" &e\$(eta(#at 6 #""

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P(omot#ons Po"#\$ #s so a!!a(ent f(om t\*e fa\$e of #t t\*at t\*e(e \$an 'e no 5uest#on

## ; a#"u(e to !(o2#de t\*e &PP mem 'e(s 6#t\* t\*e \$and#datesA e1PADs

60. T\*e A!!"#\$ant a"so \$\*a""enges t\*e fa\$t t\*at t\*e &PP mem'e(s 6e(e!(o2#ded on" 6#t\* t\*e \$and#datesA fa\$t s\*eet) to t\*e e<\$"us#on of t\*e#( e!PADs. T\*e, es!ondent (e\$ogn#ses t\*#s 'ut a(gues #t 6as #n "#ne 6#t\* t\*e P(omot#ons Po"#\$ as t\*e staff mem'e(sA fa\$t s\*eet (ef"e\$ted t\*e#( e!PADs.

- 61. T\*e e2#den\$e 'efo(e t\*e T(#'una" 6as t\*at t\*e fa\$t s\*eet d#s!"a s t\*e staff mem 'e(sA 6o(@#ng \*#sto( and !a(t of t\*e#( !e(fo(man\$e a!!(a#sa") name" t\*e na((at#2e se\$t#on of t\*e#( e1PADs. 3t does not #n\$"ude) \*o6e2e() t\*e nume(#\$a" (at#ngs fo( t\*e a!!(a#sa" of ea\$\* o'7e\$t#2e and \$om!eten\$ \$onta#ned #n t\*e e1PADs) and t\*e o2e(a"" (at#ngs fo( su\$\*.
- 62. 3n t\*#s \$onne\$t#on) #t #s (e\$a""ed t\*at t\*e Po"#\$ fo( t\*e UN+C , Pe(fo(man\$e = anagement L A!!(a#sa" & stem -39 = 0B>/200B K ; 9 = 0B8/200B0 .PA = &/0) #nt(odu\$ed #n 200B) go2e(ned t\*e a!!(a#sa" s stem #n use du(#ng t\*e !e(fo(man\$e assessment !e(#od (e"e2ant fo( t\*e 2013 P(omot#ons &ess#on) name" 1 Janua( 2008 to 31 De\$em'e( 2013.
- 63. 3n '(#ef) t\*e PA = & !(o2#ded fo( an assessment of 6\*et\*e( staff mem'e(s

#. Not !(of#\$#ent 1) 2

##. Pa(t#a"" P(of#\$#ent 3) %) 5

###. P(of#\$#ent 6) >) B

#2. : <\$e!t#ona"" P(of#\$#ent 8) 10

65. T\*e (at#ngs 6e(e to 'e a\$\$om!an#ed ' \$omments f(om t\*e staff mem'e(As su!e(2#so( and) 6\*e(e a!!"#\$a'"e) f(om mu"t#1(ate(s -see se\$s. 25) %0) 50-'0 of t\*e PA = &0.

66. At t\*e \*ea(#ng) t\*e +ead of t\*e +uman , esou(\$es Po"#\$ and P"ann#ng &e(2#\$e) UN+C,) test#f#ed t\*at t\*e e<!e(#en\$e \*ad s\*o6n t\*at some su!e(2#so(s 6e(e mo(e !(one to g#2e \*#g\* (an@#ngs t\*an ot\*e(s) \$aus#ng 6\*at \*e (efe((ed to as a .(at#ng #nf"at#on/. +e e<!(essed t\*e 2#e6 t\*at t\*e (at#ngs 6e(e .un(e"#a'"e/ and mean#ng"ess #f not su!!o(ted ' \$omments. T\*e Adm#n#st(at#on t\*e(efo(e \$ons#de(ed t\*at on" t\*e na((at#2e !a(t of t\*e elPADs s\*ou"d 'e d#s\$"osed to t\*e &PP mem'e(s fo( t\*e#( assessment of \$and#dates du(#ng t\*e &e\$ond and T\*#(d ,ounds) as t\*e 6ou"d g#2e a 'ette( !#\$tu(e of t\*e !e(fo(man\$e and a '#"#t#es of an staff mem'e( unde( \$ons#de(at#on.

6>. T\*e +ead of t\*e +uman , esou(\$es Po"#\$ and P"ann#ng &e(2#\$e fu(t\*e(e<!"a#ned t\*at t\*e UN+C , 6as not sat#sf#ed 6#t\* t\*e a!!(a#sa" s stem esta'"#s\*ed ' t\*e PA = & and (e2#e6ed #t #n 201%) nota'" to mod#f t\*e (at#ng s\$a"e) and to (e5u#(e t\*at e<\$e!t#ona" (at#ngs 'e t\*e su'7e\$t of (e2#e6) #n o(de( to (emo2e t\*e a('#t(a(#ness \$onta#ned #n t\*e !(e2#ous a!!(a#sa" s stem. +e a"so stated t\*at t\*e P(omot#ons Po"#\$ 6as d(afted #n t\*e "#g\*t of t\*e fo(t\*\$om#ng ne6 !e(fo(man\$e a!!(a#sa" !o"#\$)) and #ntended) f(om #ts #n\$e!t#on) to e<\$"ude t\*e e1PADs f(om t\*e &PP mem'e(sA (e2#e6. T\*e C\*#ef of t\*e Ass#gnments and P(omot#ons &e\$t#on) D+ , =) UN+C,) fu(t\*e( test#f#ed t\*at t\*e &PP mem'e(s 6e(e s!e\$#f#\$a"" ad2#sed #n a '(#ef#ng sess#on t\*at .e1PADs (at#ngs D6e(eE not to 'e d#s\$"osed/ to t\*em.

6B. T\*e , es!ondent a"so su'm#tted do\$umenta( e2#den\$e s\*o6#ng t\*at f(om 2008) fo""o6#ng t\*e f#(st a!!(a#sa" e<e(\$#se !u(suant to t\*e PA = &) d#s!a(#t#es #n (at#ngs among 2a(#ous manage(s and off#\$es 6e(e noted 6#t\* \$on\$e(n. 3n a

'(oad\$ast ema#" message of % June 2010) t\*e t\*en D#(e\$to( of D+, =) UN+C,) #nfo(med a"" staff mem'e(s t\*at .a\$(oss off#\$es a(ound t\*e 6o("d and #n \*ead5ua(te(s) t\*e(e #s a "ot of 2a(#at#on #n t\*e (at#ngsI and at t\*e #nd#2#dua" "e2e") (at#ngs and na((at#2es somet#mes do no \$o((es!ond/. +e #m!(essed u!on t\*e fa\$t t\*at measu(es 6ou"d 'e ta@en to (emed t\*e !(o'"em #n t\*e ne<t a!!(a#sa" \$ \$"e and t\*at D+, = 6ou"d) u!on #ts (e2#e6 of t\*e #nd#2#dua" elPADs) .(e2e(t to staff mem'e(s and manage(s 6#t\* \$omments) and a"so d(a 6 "essons "ea(ned to #m!(o2e !(a\$t#\$e #n gene(a"/. T\*e D+, = a"so unde(too@ to .a0 u!date t\*e gu#dan\$e on t\*e (at#ng s\$a"eI '0 #nt(odu\$e standa(ds fo( t\*e 5ua"#t assu(an\$e of elPADsI and \$0 !(o2#de gu#de"#nes to manage(s and , e2#e6#ng 9ff#\$e(s on \*o 6 to \$a"#'(ate (at#ngs/. 3n t\*e meant#me) \*e announ\$ed t\*at .a"" \$om!"eted elPADs 6#"" 'e a\$\$e!ted #n t\*e s stem 'ut fo( !u(!oses of (e!o(t#ng) t\*e fa\$t s\*eets of a"" staff mem'e(s 6#"" #n\$"ude on" t\*e na((at#2es fo( 2008/. +o 6e2e() \*e s!e\$#f#ed t\*at t\*e elPADs) #n\$"ud#ng t\*e (at#ngs) \$ou"d 'e .(efe((ed to 'D+, = as needed) fo(

manage(s to ensu(e \$ons#sten\$ . = anage(s \$ont#nued to 'e as@ed and e<!e\$ted to e2a"uate t\*e#( su!e(2#sees ' !(o2#d#ng t\*em a (at#ng) toget\*e( 6#t\* \$omments. 3((es!e\$t#2e of t\*e D+ , = As assessment of t\*e (at#ngsA 2a"ue) t\*e 6e(e an #nteg(a" !a(t of t\*e staff mem'e(sA e1PADs f(om 2008 to 2013 and fo(ma"" !a(t of t\*e UN+C , As "ega" f(ame6o(@. An (efe(en\$e to an e1PAD du(#ng t\*at !e(#od #n\$"uded 'ot\* t\*e na((at#2e and t\*e (at#ngs \$onta#ned #n t\*e !e(fo(man\$e a!!(a#sa" do\$ument) #((es!e\$t#2e of t\*e fa\$t t\*at on" t\*e \$omments 6e(e (e!(odu\$ed #n t\*e staff mem'e(sA fa\$t s\*eet. ;o( t\*e \$u((ent P(omot#ons &ess#on) t\*e P(omot#ons Po"#\$ must 'e (ead #n t\*e "#g\*t of t\*e PA = &) 6\*#\$\* 6as t\*e a!!"#\$a'"e adm#n#st(at#2e #ssuan\$e (ega(d#ng !e(fo(man\$e a!!(a#sa" at t\*e (e"e2ant !e(#od.

- >1. T\*e P(omot#ons Po"#\$ ) at se\$. 5.8.1-#0 and -##0) e<!"#\$#t" (efe(s to 'ot\* t\*e \$and#datesA fa\$t s\*eet and elPADs fo( \$ons#de(at#on ' t\*e &PP #n t\*e &e\$ond ,ound. T\*e (efe(en\$e to t6o se!a(ate do\$uments \$"ea(" #nd#\$ates t\*at 'ot\* 6e(e to 'e!(o2#ded) ot\*e(6#se t\*e ment#on of PA, /elPAD 6ou"d 'e mean#ng"ess.
- >2. 3n !a(t#\$u"a() se\$. 5.8.1-#0 !(o2#des t\*at t\*e &PP s\*a"" assess a staff mem 'e(As .a'#"#t and (ead#ness to !e(fo(m at a \*#g\*e( "e2e" as (ef"e\$ted #n t\*e

t\*e elPAD) 6\*#"e t\*e 6o(d .na((at#2e/ atta\$\*es to t\*e fa\$t s\*eet. C"ea(" ) #n "#g\*t of t\*e unam'#guous 6o(d#ng of t\*#s !(o2#s#on) #t #s f(om 'ot\* t\*e elPAD !e(fo(man\$e e2a"uat#ons and t\*e fa\$t s\*eet na((at#2e t\*at t\*e assessment \*ad to 'e made #n (es!e\$t of t\*e .manage(#a" a\$\*#e2ements/.

>%. T\*e , es!ondentAs a(gument t\*at se\$. 5.8.1 s\*ou"d 'e #nte(!(eted #n su\$\* a 6a t\*at t\*e elPAD and t\*e fa\$t s\*eet (efe( to t\*e same do\$ument) name" t\*e fa\$t s\*eet a"one) must 'e (e7e\$ted as #t \*as 'een \$"ea(" esta'"#s\*ed t\*at fa\$t s\*eet does not ent#(e" (ef"e\$t t\*e elPADs 'e\$ause #t does not (e!(odu\$e t\*e (at#ngs \$onta#ned #n t\*e "atte(. 3f #t \*ad 'een #ntended to (efe( on" to t\*e na((at#2e) t\*en t\*e P(omot#ons Po"#\$ \*ad to 'e d(afted to so s!e\$#f t\*#s. 3t #s a"so \$"ea( f(om t\*e P(omot#ons Po"#\$ t\*at t\*e #nfo(mat#on \$onta#ned #n t\*e elPADs) #n\$"ud#ng t\*e (at#ngs) 6 as d#(e\$t" (e"e2ant to t\*e &PP mem'e(As assessment du(#ng t\*e &e\$ond ,ound.

>5. ;#(st") se\$. 5.8.1-#0 (e5u#(ed t\*e &PP mem'e(s to assess 6\*et\*e( t\*e staff mem'e(As !e(fo(man\$e met t\*e m#n#mum t\*(es\*o"d of .A\$\*#e2ed/ o( #ts e5u#2a"ent fo( o2e(a"" 6o(@ o'7e\$t#2es) and .P(of#\$#ent/ o( #ts e5u#2a"ent fo(

.(e\$e#2ed at "east one MNot/Pa(t#a"" A\$\*#e2edA and/o( MNot/Pa(t#a"" P(of#\$#entA (at#ng on t\*e#( e1PADs \$o2e(#ng t\*e !e(#od f(om 1 Janua( 2008 to 31 De\$em'e( 2013/) and to #nd#\$ate ' an aste(#s@ #f t\*ose (at#ngs \*ad 'een !(o2#ded mo(e t\*an on\$e. A Pe(fo(man\$e = anagement Asso\$#ate #n t\*e Pe(fo(man\$e = anagement Un#t t\*en gene(ated a (e!o(t f(om t\*e UN+C, As : nte(!(#se , esou(\$e P"ann#ng & stem) and #dent#f#ed .t\*ose e1PADs #n 6\*#\$\* t\*e (at#ng fo( o2e(a"" o'7e\$t#2es and/o( t\*e (at#ng fo( o2e(a"" \$om!eten\$#es fo( at "east one e1PAD du(#ng t\*e !e(#od 200812013 6as 'e"o6 5.1) 6\*#\$\* 6as t\*e "o6est !oss#'"e MA\$\*#e2edA/MP(of#\$#entA (at#ng unde( Dt\*e PA = &E/. +e #dent#f#ed fou( \$and#dates fo( !(omot#on to t\*e P15 "e2e" 6\*o (e\$e#2ed a (at#ng of "ess t\*an .A\$\*#e2ed/ o( .P(of#\$#ent/) and \$on2e ed t\*#s #nfo(mat#on to t\*e &PP &e\$(eta( ' ema#". T\*e T(# 'una" #s not ent#(e" su(e \*o6 t\*#s #nfo(mat#on 6as u"t#mate" \$on2e ed to t\*e &PP) 'ut #t seems to \*a2e 'een done o(a"" .

>B. T\*e T(#'una" 0 sons#de(s t\*at t\*ese e<!"anat#ons as to t\*e met\*odo"og ado!ted ' t\*e D+, = to #m!"ement t\*e P(omot#ons Po"#\$ a(e 6o((#some #n se2e(a" as!e\$ts.;#(st) #t a!!ea(s t\*at #t 6as not ant#\$#!ated) !(#o( to t\*e &PP sess#on) t\*at a (e2#e6 of t\*e \$and#datesA e1PADs 6as ne\$essa( to) ) 2e(#f #f t\*e !e(fo(man\$e standa(d 6as met. T\*#s) #n tu(n) (a#ses dou'ts a'out \*o6 t\*e D+, = en2#saged unde(ta@#ng t\*e assessment of t\* T\*e\$s Mes

B0. ;#na"" ) and most #m!o(tant" ) #t tu(ned out t\*at #t 6as t\*e D+, = t\*at assessed !a(t of t\*e !e(fo(man\$e \$(#te(#on unde( se\$. 5.8.1-#0) #nstead of t\*e &PP) #n \$ont(a2ent#on 6#t\* t\*e e<!"#\$#t te(ms of t\*e P(omot#ons Po"#\$) ) unde( 6\*#\$\* t\*e aut\*o(#t to ma@e t\*at assessment \$"ea(" fa""s on t\*e &PP. 3n t\*#s (es!e\$t) t\*e #nfo(mat#on !(o2#ded ' t\*e D+, = to t\*e &PP 6as not suff#\$#ent to \$on\$"ude t\*at t\*e &PP mem'e(s u"t#mate" made t\*e#( #nd#2#dua" assessment of t\*e e2a"uat#on \$(#te(#on as !e( t\*e te(ms of se\$. 5.8.1-#0. T\*e a!!a(ent de\$#s#on of t\*e D+, = to @ee! t\*e (at#ngs f(om t\*e &PP meant t\*at t\*e &PP mem'e(s 6e(e) t\*us) not !e(sona"" a'"e to as\$e(ta#n t\*e \$o((e\$tness o( ot\*e( 6#se of t\*e #nfo(mat#on #n t\*e \$ons#de(at#ons unde( se\$. 5.8.1-#0 of t\*e Po"#\$ e2en t\*oug\* t\*e Po"#\$ (e5u#(ed t\*at t\*e \*ad to 'e !e(sona"" sat#sf#ed t\*at t\*e \$(#te(#on \*ad 'een met.))

B1. Add#t#ona"" ) t\*e (at#ngs g#2en to t\*e \$and#dates ' t\*e#( su!e(2#so(s #n (es!e\$t of t\*e a\$\*#e2ement of t\*e#( 6o(@ o'7e\$t#2es and t\*e#( "e2e" of \$om!eten\$#es 6as \$e(ta#n" a usefu") #f not ne\$essa( ) #nd#\$ato( to \$om!a(e t\*e 2a(#ous \$and#datesA !e(fo(man\$e) manage(#a" a\$\*#e2ements and "eade(s\*#! 5ua"#t#es. 3t !(o2#ded a 5uant#tat#2e measu(e t\*at 6ou"d !oss#'" a""o6 t\*e &PP mem'e(s to #dent#f st(engt\*s and 6ea@nesses #n t\*e 2a(#ous staff mem'e(sA \$and#da\$ ) and \$om!a(e t\*em aga#nst one anot\*e(.;o( #nstan\$e) (at#ngs of .:<\$e!t#ona"" A\$\*#e2ed/ o( .:<\$e!t#ona"" P(of#\$#ent/ 6e(e most \$e(ta#n" (e"e2ant to t\*e &PPAs \$ons#de(at#on of) ) 6\*et\*e( \$and#dates \*ad .\$ons#stent" demonst(ated e<\$e!t#ona" !e(fo(man\$e and do\$umented e<em!"a(

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83. &e\$s. 5.12.2 to 5.12.6 t\*en go on to set t\*e effe\$t#2e date of !(omot#on) de!end#ng on 6\*et\*e( t\*e staff mem'e( 6as a"(ead se(2#ng at t\*e \*#g\*e( "e2e" o( not) se(2#ng on an e<!e(t !ost o( 6as 6#t\*#n t6o ea(s f(om (et#(ement.

8%. T\*e T(#'una" f#nds t\*at su#ta'#"#t fo( !"a\$ement #

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do\$uments !(o2#ded to t\*e 6\*o"e !ane". H#@e6\*se) t\*e P(omot#ons Po"#\$ does not en2#sage an (o"e fo( t\*e D+, = to !(o2#de add#t#ona" #nfo(mat#on to &PP mem'e(s 'ut so"e" to !(o2#de te\$\*n#\$a" ad2#\$e and gu#dan\$e on t\*e a!!"#\$a'"e (u"es -see se\$. %.2.50.

102. T\*e T(#'una" f#nds t\*at t\*e(e #s no (oom #n t\*e P(omot#ons Po"#\$ fo( t\*e &PP mem'e(s to #nfo(m t\*e#( (an@#ngs 6#t\* add#t#ona" #nfo(mat#on t\*e ma @no 6 a'out 'ut t\*at #s not (ef"e\$ted #n t\*e do\$uments su'7e\$t to t\*e#( (e2#e6. 9t\*e(6#se) \$and#dates ma 'e ad2antaged o( d#sad2antaged 'ased on t\*e fa\$t t\*at t\*e a(e @no 6n to some of t\*e &PP mem'e(s) o!en#ng t\*e doo( to ne!ot#sm and '#as. T\*e T(#'una" a\$@no 6"edges t\*at &PP mem'e(s ma \*a2e @no 6n some of t\*e \$and#dates) fo( \*a2#ng !(e2#ous" 6o(@ed 6#t\* t\*em o( su!e(2#sed t\*em and) to some e<tent) ma 'e #nf"uen\$ed ' t\*e#( !e(sona" @no 6"edge of t\*e \$and#datesA !e(fo(man\$e. T\*#s #s una2o#da'"e and) #ndeed) #m!"#\$#t" a""o 6ed ' t\*e P(omot#ons Po"#\$ 6\*#\$\* d#d not !(e\$"ude &PP mem'e(s to assess \$and#dates t\*e ma @no 6) un"ess #f t\*e 6e(e t\*e#( \$u((ent su!e(2#so(. T\*at sa#d) t\*e(e #s a d#ffe(en\$e 'et 6een 'e#ng #nf"uen\$ed ' somo

&PP mem'e(s and t\*e#( t(eatment ' t\*e D+, = 6\*en #t .\$(un\$\*ed t\*e data/) as one 6#tness stated. T\*e , es!ondent a(gues t\*at t\*e met\*odo"og used 6as !(o!e( 'ut a\$@no6"edges t\*at t\*e(e \*a2e 'een some m#sta@es \$omm#tted ' t\*e &PP mem'e(s #n a!!" #ng t\*e suggested met\*odo"og . +e a(gues) \*o6e2e() t\*at t\*ese \*ad no #m!a\$t on t\*e A!!"#\$antAs \$and#da\$ as a \$o((e\$ted g(#d s\*o6s t\*at \*e 6ou"d not \*a2e ad2an\$ed to t\*e T\*#(d , ound #n an e2ent.

105. 3t \*as 'een esta'"#s\*ed t\*at t\*e D+, = ad2#sed t\*e !ane" mem'e(s t\*at .DaEt t#mes) t6o o( mo(e fa\$t s\*eets ma 'e #nd#st#ngu#s\*a'"e/) #n 6\*#\$\* \$ase t\*e \$ou"d .(an@ t\*em t\*e same/. T\*e D+, = e<!"a#ned t\*e met\*odo"og fo( (an@#ng #n t\*#s s\$ena(#o ' 6a of e<am!"es.; o( #nstan\$e) #f t\*e f#(st t\*(ee \$and#dates of a "#st \*ad #nd#st#ngu#s\*a'"e fa\$t s\*eets) t\*e 6e(e a"" t\*(ee to 'e (an@ed num'e( one) and t\*e \$and#date afte( t\*em 6 as to 'e (an@ed num'e( fou(.

106. T\*e \$onso"#dated ta'"e of (an@#ngs fo( ma"e \$and#dates fo( !(omot#on to t\*e P15 "e2e" s\*o6s t\*at a"" s#< &PP mem'e(s ga2e t\*e same (an@#ng to one o( mo(e \$and#dates at some !o#nt. 3ndeed) 6\*e(eas t\*(ee !ane" mem'e(s ga2e t\*e same (an@#ng to mo(e t\*an one \$and#date on" #n a fe6 o\$\$as#ons) t\*(ee ot\*e(s d#d #t s stemat#\$a"") engag#ng #n a g(ou!#ng e<e(\$#se. :((o(s #n fo""o 6#ng t\*e suggested met\*odo"og 6e(e #dent#f#ed #n t\*e \$ou(se of t\*e !(esent !(o\$eed#ngs ' t\*e D+, =) t\*e A!!"#\$ant and t\*e T(#'una" #n t\*e (an@#ngs !(o2#ded ' ea\$\* of t\*e s#< &PP mem'e(s) some 'e#ng of 2e( se(#ous \$on\$e(n.

10>. ; o( e<am!"e) one &PP mem'e( ga2e #dent#\$a" (an@#ngs to a num'e( of \$and#dates on se2e(a" o\$\$as#ons) 'ut 6#t\*out ta@#ng #t #nto a\$\$ount 6\*en g#2#ng t\*e ne<t (an@. +e (an@ed t6o \$and#dates num'e( > and t\*e ne<t one num'e( B) 6\*#"st t\*e (an@#ng fo( t\*e "atte( s\*ou"d \*a2e 'een num'e( 8 a\$\$o(d#ng to t\*e D+, = As suggested met\*odo"og . T\*e &PP mem'e( #n 5uest#on s stemat#\$a"" (e!eated t\*#s !(o\$edu(e) 6\*#\$\* (esu"ted #n t\*e (an@#ng of 1%6 \$and#dates 'et6een num'e( 1 and 12>. T\*en) t\*#s &PP mem'e( sta(ted to (an@ a g(ou! of \$and#dates at t\*e 'ottom end) (an@#ng 21 of t\*em num'e( 1>0. T\*#s "eft t\*(ee \$and#dates to 'e (an@ed 'et6een num'e( 12> and 1>0I t\*ese t\*(ee \$and

not  $0^{\circ} 6^{\circ} 6^{\circ}$ 

10B. A se\$ond &PP mem'e( a!!ea(s to \*a2e engaged #n a !u(e g(ou!#ng e<e(\$#se' (an@#ng) fo( e<am!"e) 1%> \$and#dates among a g(ou! of 3 \$and#dates o( mo(eCB \$and#dates num'e( 1) 3 \$and#dates num'e( 6) 5 \$and#dates num'e( 12) > \$and#dates num'e( 1>) 6 \$and#dates num'e( 2%) 20 \$and#dates num'e( 3%) 16 \$and#dates num'e( 58) > \$and#dates num'e( >6) > \$and#dates num'e( B3) 11 \$and#dates num'e( 80) 1> \$and#dates num'e( 106) > \$and#dates num'e( 12%) 11 \$and#dates num'e( 13>) % \$and#dates num'e( 1%5) 10 \$and#dates num'e( 15%) and B \$and#dates num'e( 163. 3n t\*#s !(o\$ess) \*e \$omm#tted se2e(a" e((o(s #n t\*e a!!"#\$at#on of t\*e suggested met\*odo"og )' not ass#gn#ng \$o((e\$t" t\*e ne<t (an@#ng. +e (an@ed a"most a"" \$and#dates 6#t\*#n a g(ou!) 6\*#\$\* \$auses t\*e T(#'una" to 6 onde( 6\*at e<a\$t \$(#te(#a t\*#s !ane" mem'e( 6as a!!" #ng.

108. A t\*#(d &PP mem 'e( a"so engaged #n a s#m#"a( g(ou!#

111. None of t\*ese e((o(s 6e(e dete\$ted !(#o( to t\*e !(esent !(o\$eed#ngs. T\*e e2#den\$e s\*o6s t\*at t\*e D+, = \$o""e\$ted t\*e #nd#2#dua" (an@#ngs f(om ea\$\* &PP mem'e() an Adm#n#st(at#2e Ass#stant (e!(odu\$ed t\*ese #n a \$onso"#dated "#st) and \$a"\$u"ated t\*e a2e(age (an@#ng of ea\$\* \$and#dateI t\*en) t\*e &PP mem'e(s 6e(e as@ed to s#gn t\*e \$onso"#dated (an@#ng ta'"e) 6\*#\$\* t\*e a!!a(ent" d#d 6#t\*out an fu(t\*e( 5uest#on#ng.

112. T\*e T(#'una" (e\$a""s t\*at t\*e P(omot#ons Po"#\$ !(o2#des fo( a .\$om!a(at#2e assessment and (an@#ng/ of t\*e \$and#dates. T\*e !"a#n mean#ng of su\$\* e<!(ess#on #s t\*at \$and#dates must 'e \$om!a(ed to one anot\*e( and g#2en a \$onse\$ut#2e (an@#ng) f(om t\*e f#(st to t\*e "ast. T\*e(e #s no !(o2#s#on fo( t\*e g#2#ng of t\*e same (an@#ng to mo(e t\*an one \$and#date) "et a"one to engage #n a g(ou!#ng e<e(\$#se. T\*e T(#'una" notes t\*at t\*e #m!a\$t on t\*e \$onso"#dated (an@#ng of an &PP mem'e( att(# 'ut#ng t\*e same (an@#ng to mo(e t\*an one \$and#date) fo( #nstan\$e ' g#2#ng t\*e !(#2#"ege of t\*e 'est (an@#ng to e#g\*t \$and#dates) #s d#ffe(ent f(om t\*at of an &PP mem'e( (an@#ng \$and#dates #nd#2#dua"" and \$onse\$ut#2e". &#m#"a(") #t #s o'2#ous t\*at #f 21 !eo!"e a(e g#2en t\*e 'ottom (an@#ng 'one &PP mem'e() t\*#s &PP mem'e( 6#"" \$ause an anoma" #n t\*e (an@#ngs \$om!a(ed to ot\*e( fe""o 6 &PP mem'e(s. &u(!(#s#ng")) #t a!!ea(s t\*at t\*e D+, = d#d not \$ons#de( \*o6 #ts suggested met\*odo"og \$ou"d d#sto(t t\*e \$and#datesA \$onso"#dated (an@#ng) ne#t\*e( at t\*e t#me of !(o!os#ng t\*e#( met\*odo"og no( 6\*en #t .\$(un\$\*ed t\*e data/. No stat#st#\$#an 6as \$onsu"ted) a"t\*oug\* #t a!!ea(s ne\$essa( to get a !(ofess#ona" ad2#\$e g#2en t\*e !otent#a" #m!a\$t of t\*e !(o!osed met\*odo"og on t\*e \$and#datesA o2e(a"" (an@#ng.

113. :2en mo(e 6 o((#some #s t\*e fa\$t t\*at t\*e D+, = de2e"o!ed t\*e \$onso"#dated "#st of \$and#dates 6\*o ad2an\$ed to t\*e T\*#(d , ound 'ased on t\*e num'e(s !(o2#ded ' t\*e &PP mem'e(s 6\*#\$\* d#s!"a ed) on t\*e#( fa\$e) '"atant e((o(s. Amongst ot\*e(s) t\*e g(ou!#ng of \$and#dates ' t\*(ee &PP mem'e(s s\*ou"d \*a2e (easona'" \$aused \$on\$e(n as to t\*e !(o\$edu(es ado!ted) as s\*ou"d \*a2e t\*e #m!oss#'"e (an@ of 1>1 g#2en ' one !ane" mem'e(. J \*e(eas #t ma 6e"" 'e t\*at !ane" mem'e(s ma ) at t#mes) \*a2e d#ff#\$u"t#es to d#ffe(ent#ate some \$and#dates) t\*e s stemat#\$ g(ou!#ng unde(ta@en ' t\*(ee !ane" mem'e(s) of u! to 21

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#nst(u\$t#ons on (an@#ng #n \$ases #n 6\*#\$\* an &PP me

s#gn#f#\$ant e((o(s #n t\*e (an@#ngs !(o2#ded ' &PP mem'e(s (a#ses se(#ous \$on\$e(ns as to t\*e#( (e"#a'#"#t and 5uest#ons as to t\*e met\*odo"og t\*at some &PP mem'e(s ado!ted) 6\*# (ema#ned un5uest#oned and une<!"a#ned ' t\*e D+ , = .

\*

## A('#t(a( !(o\$ess

118.

some  $\$ and#dates amongst t\*e s#< &PP mem'e(s. ; o( #nstan\$e) one \$and#date 6as (an@ed num'e(s 3) 6) 11) 33) >3 and 101. Anot\*e( one 6as (an@ed 1) 1>) 22) %2) B6 and 10>. A fou(t\* one 6as (an@ed 6) 21) 5%) 5B) BB and 16B. T\*e A!!"#\$ant 6as (an@ed num'e(s 53) 55) 11>) 11>) 118 and 156) 6#t\* a s!(ead of 103 'et6een \*#s "o 6e( and \*#g\*e( #nd#2#dua" (an@#ngs.

121. At ot\*e( t#mes) t\*e ta'"e !o#nts to 6a(ds some \$onsensus 'ut 6#t\* s#gn#f#\$ant out"#e(s.; o( #nstan\$e) one \$and#date 6as (an@ed num'e(s 12) 1%) 1B) 1B) 25 and 101. Anot\*e( one 6as (an@ed num'e(s 21) 106) 10B) 112) 1%8 and 1>0. U"t#mate" ) t\*e &PP mem'e(s 6e(e on" unan#mous #n (an@#ng 13 \$and#dates among t\*e to! 56.6\*o.6\*ou"d ad2an\$e to t\*e T\*#(d , ound.

122. T\*e T(#'una" \$ons#de(s t\*at t\*e d#s\$(e!an\$#es #n t\*e (an@#ng ta'"e dese(2ed some e<!"anat#ons. 3t #s 'e ond unde(stand#ng t\*at a!!" #ng t\*e same \$(#te(#a) 6\*# a"" (efe( to t\*e \$and#datesA o 6n !e(sonne" (e\$o(d and su!!osed" (e2#e 6#ng t\*e same #nfo(mat#on) t6o &PP mem'e(s 6ou"d d#sag(ee to su\$\* an e<tent as to (an@ one \$and#date at t\*e t6o e<t(em#t#es of t\*e s!e\$t(um among a 6#de !oo" of 1>0 \$and#dates. J \*#"e t\*e(e #s no dou't t\*at t\*e e<e(\$#se #n2o"2es an e"ement of su'7e\$t#2#t ) #t #s (easona'"e to assume t\*at t\*e(e 6ou"d 'e at "east some \$onsensus 6#t\*#n t\*e g(ou! as to 6\*et\*e( a \$and#date #s outstand#ng o( 6\*et\*e( \*e o( s\*e 6ou"d (an@ among t\*e "ess me(#to(#ous. T\*e T(#'una" f#nds t\*at t\*e 2a(#at#ons a(e su\$\* as to (a#se se(#ous \$on\$e(ns as to t\*e 6\*o"e !(o\$ess. = ost su(!(#s#ng" ) no 5ue( 6as made ' t\*ose adm#n#ste(#ng t\*e !(o\$ess. 3t seems !a(t#\$u"a(" #n\$ong(uous t\*at t\*e D+ , =) 6\*#\$\* 6as so \$on\$e(ned a'out an une2enness #n (es!e\$t of a!!(a#sa" s\$o(#ng #n t\*e elPADs) found t\*at su\$\* 2a(#at#ons of assessment #n t\*e (an@#ngs ' t\*e &PP mem'e(s 6as ent#(e" a\$\$e!ta'"e and \$ou"d !(o\$eed 6\*t\*out \$omment.

123. 3nstead of 5uest#on#ng t\*e met\*odo"og and 'e#ng \$on\$e(ned 6#t\* t\*e a\$tua" 2a"#d#t of t\*e \$om!a(at#2e assessment made ' t\*e &PP) t\*e , es!ondent soug\*t to a(gue 'efo(e t\*e T(#'una" t\*at t\*e d#2e(gen\$e of (an@#ngs) e2en #f e<t(eme) 6as e<!e\$ted and) #ndeed) !a(t of t\*e (e2#e6 e<e(\$\psis. 3n t\*\psis (es!e\$t) t\*e +ead of +uman , esou(\$es Po"\psis and P"ann\psing &e(2\psi \end{bmatrix}e) UN+C ,) stated \psin approx 6\psi tness statementC

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11. 3n o(de( to fu"f#" t\*e a#m Dof #dent#f #ng staff mem'e(s 6\*o\*a2e a !(o2en a'#"#t to \$ont(#'ute to t\*e 6o(@ of UN+C, at a \*#g\*e( "e2e" of (es!ons#'#"#t E) a \$om!a(at#2e assessment of t\*e \$and#dates ' sen#o( staff mem'e(s #n 6\*ose d#2#s#ons t\*e \$and#dates \$ou"d 6o(@ #n t\*e futu(e 6 as made t\*e \$ent(e!#e\$e of

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def#ned. 3n an e2ent) t\*e d#ff#\$u"t#es en\$ounte(ed #n t\*e !(omot#ons e<e(\$#se unde( (e2#e6 a!!ea( to stem not f(om t\*e e2a"uat#on \$(#te(#a t\*emse"2es) 'ut f(om t\*e met\*odo"og to assess t\*em #n a \$om!a(at#2e fas\*#on.

131. Tu(n#ng to t\*e tas@ t\*e !ane" mem'e(s 6e(e as@ed to unde(ta@e) t\*e T(#'una" notes t\*at t\*e(e #s "#tt"e gu#dan\$e) #f an ) #n t\*e P(omot#ons Po"#\$ a 'out t\*e !(o\$edu(e o( met\*odo"og to 'e used to fu"f#" t\*e \*#g\*" \$om!"e< e<e(\$#se t\*at t\*e &e\$ond , ound e2a"uat#on #n2o"2es. No adm#n#st(at#2e #ssuan\$e 6as !(o2#ded e#t\*e(.3nstead) t\*e D+, = attem!ted to de2#se t\*e met\*odo"og to 'e fo""o6ed.

132. 3t \*as 'een esta' "#s\*ed t\*at on 1> June 201%) t\*e D+, = \$on2ened t\*e &PP to t\*e P(omot#ons &ess#on to 'e \*e"d #n Gene2a f(om 30 June 201% to 11 Ju" 201%) fo( \$ons#de(at#on of a"" e"#g#' "e \$and#dates fo( !(omot#on to t\*e P15 and D11 "e2e") ma"e and fema"e. T\*e(e 6e(e 1>0 ma"e and 161 fema"e e"#g#' "e \$and#dates fo( !(omot#on to t\*e P15 "e2e") #n add#t#on to t\*ose to t\*e D11 "e2e" fo( 6\*#\*\* t\*e T(#'una" #s una 6a(e of t\*e num'e(. T\*e &PP mem'e(s 6e(e) at t\*at t#me) g#2en a\$\$ess to a"" t\*e \$and#datesA fa\$t s\*eets. ;(om 30 June to % Ju" 201%) t\*e &PP mem'e(s gat\*e(ed #n Gene2a to \$ondu\$t t\*e#( #nd#2#dua" assessment of a"" t\*e \$and#dates) #n a \$ont(o""ed en2#(onment) a 6a f(om an d#st(a\$t#on. U!on a((#2a") t\*e &PP mem'e(s 6e(e)!(o2#ded 6#t\* a \$om!u

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\$annot 'e s#m!" e<!"a#ned ' (efe(en\$e to t\*e fa\$t t\*at t\*#s (e2#e6 e<e(\$#se enta#"ed an e"ement of su'7e\$t#2#t . Not on" d#d t\*e , es!ondent fa#" to !(o2#de an sat#sfa\$to( e<!"anat#on fo( t\*ese d#2e(gen\$es) 'ut \*e a"so fa#"ed to demonst(ate t\*at t\*e A!!"#\$ant\( \) \$and\( \) \$and\( \) \$and\( \) \$and\( \) \$de another t\*at of t\*e 168 ot\*e( \) \$and\( \) \$and\( \) and\( \) \$and\( \) \$ased on t\*e esta'"#s\*ed e2a"uat\( \) \$0 \( \) \$and\( \) \$ased on t\*e esta'"#s\*ed e2a"uat\( \) \$0 \( \) \$and\( \)

1%0. G#2en t\*e fa#"u(e to !(o2#de t\*e !ane" mem 'e(s t\*e e1PADsA (at#ngs) 6\*#\$ 6e(e ne\$essa( to \$om!a(e t\*e \$and#dates #n "#g\*t of t\*e e2a"uat#on \$(#te(#a) t\*e #n2#tat#on to ta@e #nto a\$\$ount o!e(at#ona" (e5u#(ements as 6e"" as !e(sona" @no6"edge of \$and#dates) and t\*e 6a t\*e (e2#e6 6as \$ondu\$ted) t\*e T(#'una" f#nds t\*at t\*e !(esum!t#on of (egu"a(#t \*as 'een (e'utted) and t\*at t\*e(e a(e st(ong #nd#)ato(s t\*at t\*e &e\$ond , ound (e2#e6 6as

du(#ng t\*e &e\$ond , ound e2a"uat#on \$annot 'e \$u(ed du(#ng t\*e T\*#(d), ound #n (es!e\$t of t\*ose staff mem'e(s) su\$\* as t\*e A!!"#\$ant) 6\*o d#d not ad2an\$e to t\*at stage. T\*e \$onst#tut#on) #n t\*e &e\$ond , ound) of a "a(ge(!oo" of \$and#dates t\*an t\*e a\$tua" a2a#"a'"e !(omot#on s"ots #s meant to a""o 6 t\*e !ane" to \$o""e\$t#2e" se"e\$t t\*e to! 56 among t\*e .su'stant#a"" e5ua"" me(#to(#ous \$and#dates/) not to \$u(e!(o\$edu(a" defe\$ts \$omm#tted ea("#e(. 3f some \$and#dates 6e(e #n\$"uded #n t\*e !oo" ' m#sta@e and ot\*e(s e<\$"uded) t\*e &PP mem'e(s 6e(e no "onge(\$om!a(#ng .su'stant#a"" e5ua"" me(#to(#ous \$and#dates/).

1%3. &e\$ond" ) t\*e T(#'una" notes t\*at t\*e(e #s no !(o2#s#on #n t\*e P(omot#ons Po"#\$ #n (es!e\$t of t\*e met\*odo"og fo( \$onso"#dat#ng t\*e #nd#2#dua" (an@#ngs !(o2#ded ' ea\$\* of t\*e s#< &PP mem'e(s. T\*e e2#den\$e s\*o6s t\*at t\*e D+, = e"e\$ted to ta@e an a(#t\*met#\$ mean of t\*e #nd#2#dua" (an@#ngs !(o2#ded ' ea\$\* &PP mem'e(. B ta@#ng an a(#t\*met#\$ mean) t\*e \$and#datesA (an@#ngs 6e(e

0.2e(ted #nto nume(#\$a" 2a"ues) 6 \*#\$\* 6e(e t\*en (an@ed f(om t\*e "o 6 est to t\*e \*#g\*est. None of t\*e 6 #tnesses !(esented ' t\*e , es!ondent \$ou"d !(o2 #de an \$ogent e<!"anat#on as to 6 \* t\*#s met\*odo"og 6 as \$\*osen. T\*e e2 #den \$ed #s\$"osed t\*at no stat #st #\$a" ad2 #\$e 6 as soug\*t o( o'ta #ned #n (es!e \$t of t\*e a!!(o!(#ate met\*odo"og to 'e used.

1%%. T\*e T(# 'una" (e\$a""s t\*at t\*e ne 6 2e(s#on of t\*e P(omot#ons Po"#\$) a!!"#ed fo( t\*e 2013 P(omot#ons &ess#on) #s su 'stant#a"" d#ffe(ent f(om t\*e !(e2#ous !o"#\$ as #t enta#"s a (an@#ng !(o\$ess (at\*e( t\*an a s\$o(#ng one. T\*e \$onso"#dat#on !(o\$ess 'e\$ame e2en mo(e \$om!"e< 6#t\* t\*e a""o\$at#on of t\*e same (an@#ng to 2a(#ous \$and#dates) as e<!"a#ned a 'o2e) and #n t\*e !(esen\$e of e<t(eme (an@#ngs. J \*#"st t\*e met\*odo"og ado!ted ma u"t#mate" 'e an a\$\$e!ta'"e 6a to !(o\$eed) t\*e T(# 'una" #s \$on\$e(ned t\*at no \$ons#de(at#on a!!ea(s to \*a2e 'een g#2en to t\*e #m!a\$t of ta@#ng an a(#t\*met#\$ mean (at\*e( t\*an) o( #n \$on7un\$t#on 6#t\*) a med#an o( a mode fo( #nstan\$e. T\*e !oss#'#"#t of e<\$"ud#ng e<t(eme (an@#ngs f(om t\*e a2e(age a"so a!!ea(s not to \*a2e 'een \$ons#de(ed. G#2en t\*e sma"" num'e( of &PP mem'e(s) t\*e #m!a\$t of an out"#e( 6as !otent#a"" dete(m#nat#2e of t\*e f#na" (an@ g#2en to a \$and#date. ;o( #nstan\$e) one \$and#date 6\*o 6as (an@ed 'et6een 58 and 85 ' f#2e &PP mem'e(s) ne2e(t\*e"ess ad2an\$ed to t\*e T\*#(d , ound as \*e 6as

(an@ed num'e( 26 ' a s#<t\* one. 3f t\*#s out"#e( (an@#ng \*ad 'een d#s\$a(ded) t\*#s \$and#date 6 ou"d not \*a2e 'een among t\*e 56 \$and#dates 6\*o ad2an\$ed to t\*e T\*#(d , ound) 6\*#\$\* a"so means t\*at someone e"se 6 ou"d \*a2e.

1%5. 3n t\*ese \$#(\$umstan\$es) t\*e T(#'una" #s not \$on2#n\$ed ' t\*e , es!ondentAs a(gument t\*at ta@#ng an a(#t\*met#\$ mean of t\*e s#< #nd#2#dua" (an@#ngs \$u(ed a"" t\*e s#gn#f#\$ant !(o'"ems #n t\*e (an@#ngs \*#g\*"#g\*ted a'o2e. , at\*e() #t a!!ea(s t\*at t\*e \$om!"e<#t of t\*e stat#st#\$a" e<e(\$#se #n2o"2ed \*as 'een unde(est#mated.

1%6. T\*e A!!"#\$ant a(gues t\*at t\*e "a\$@ of (easons !(o2#ded to \*#m fo( t\*e

+

T(#'una". 3n t\*e #nstant \$ase) t\*e A!!"#\$ant) 6\*o \*ad as@ed to o'ta#n (easons fo(
t\*e de\$#s#on not to !(omote \*#m and to o'ta#n t\*e m#nutes of t\*e &PP meet#ngs on
21 and 30 9\$to'e( 201%) 6as on" #nfo(med of \*#s (an@#ngs ' t\*e De!ut +#g\*

Comm#ss#one( on 11 June 2015 t\*(oug\* \*#s (es!onse to t\*e A!!"#\$antAs (e5uest
fo( management e2a"uat-0.960221(g)9.69849(\*)-0.960224(()2(t)0.873046(221()-112.e)-14.325(es45.77694(

#m!a\$ted on t\*e (esu"ts) o( t\*at t\*e \$om!a(at#2e and (an@#ng e<e(\$#se 6as s#m!" #m!oss#'"e to a\$\$om!"#s\* g#2en t\*e "a(ge num'e( of \$and#dates) t\*e #nfo(mat#on !(o2#ded to t\*e !ane" mem'e(s) 6\*#\$\* \$ons#sted on" of t\*e \$and#datesA fa\$t s\*eet) and t\*e s\*o(t t#me fo( \$ondu\$t#ng t\*e#( (e2#e6.

152. 3n "#g\*t of a"" t\*e fo(ego#ng) t\*e T(#'una" f#nds t\*at t\*e \$ontested de\$#s#on #s un"a6fu".

153. T\*e T(#'una" notes t\*at t\*e A!!"#\$ant 6as e"#g#'"e fo( \$ons#de(at#on fo( !(omot#on #n t\*e 201% P(omot#ons &ess#on) 6\*#\$\* t\*e T(#'una" unde(stands #s #n #ts f#na" stage. B \$ondu\$t#ng an e<tens#2e (e2#e6 of t\*e 2013 !(omot#ons e<e(\$#se) add(ess#ng ea\$\* and e2e( !(o\$edu(a" #((egu"a(#t (a#sed ' t\*e A!!"#\$ant) and #n "#ne 6#t\* t\*e A!!ea"s T(#'una"As 7udgment #n " ! -see !a(a. %% a'o2e0) t\*e T(#'una" \*o!es to \*a2e !(o2#ded some gu#dan\$e as to \*o6 t\*e P(omot#ons Po"#\$ oug\*t to \*a2e 'een #m!"emented #n #ts \$u((ent fo(mu"at#on) s\*ou"d t\*e UN+C, de\$#de to \$ont#nue to use #t #n futu(e !(omot#ons e<e(\$#ses.

15%. 3n add#t#on to #ns#st#ng on t\*e ne\$ess#t of #m!"ement#ng t\*e Po"#\$ as ado!ted) t\*e T(#'una" (e\$ommends to t\*e Adm#n#st(at#on to .(efo(m/ su\$\* ' su!!"ement#ng t\*e Po"#\$ 6#t\* an adm#n#st(at#2e #ssuan\$e deta#"#ng t\*e moda"#t#es of #ts #m!"ementat#on. As noted a 'o2e) t\*e \$om!a(at#2e assessment #n t\*e &e\$ond ,ound #s \*#g\*" \$om!"e< g#2en) amongst ot\*e(s) t\*e num'e( of \$and#dates #n2o"2ed. T\*e met\*odo"og fo( su\$\* e<e(\$#se needs to 'e t\*oug\*t t\*(oug\* \$a(efu"")) and de"#neated #n fa#( and t(ans!a(ent !(o\$edu(es) 6\*#\$\* a(e to 'e

## , es\$#ss#on of t\*e \$ontested de\$#s#on

156. 3t #s sett"ed 7u(#s!(uden\$e t\*at #n (es!e\$t of t\*e UN+C, As !(omot#ons sess#ons) t\*e T(#'una" \$an on" (es\$#nd t\*e de\$#s#on not to g(ant a !(omot#on #f t\*e !(o\$edu(a" #((egu"a(#t#es un\$o2e(ed \*ad de!(#2ed t\*e a!!"#\$ant of a s#gn#f#\$ant \$\*an\$e fo( !(omot#on -see 20111UNAT11>2I ' 20111UNAT11>% and - 20111UNAT11>50. T\*e T(#'una" s\*a"" t\*e(efo(e \$ons#de( 6\*et\*e( t\*e A!!"#\$ant 6ou"d \*a2e \*ad a s#gn#f#\$ant \$\*an\$e of 'e#ng !(omoted #f t\*e e((o(s #nd#\$ated a'o2e \*ad not 'een \$omm#tted.

15>. T\*e A!!"#\$ant 6as e"#g#'"e fo( \$ons#de(at#on fo( !(omot#on) and met t\*e (e5u#(ement a""o6#ng \*#m to ad2an\$e f(om t\*e ;#(st to t\*e &e\$ond , ound of e2a"uat#ons. As t\*e &e\$ond and T\*#(d , ound #n2o"2ed a \$om!a(at#2e assessment of t\*e \$and#dates) (at\*e( t\*an e"#m#nato( \$(#te(#a) t\*e A!!"#\$ant \*ad a \$\*an\$e to 'e u"t#mate" !(omoted. T\*e a\$tua" !(o'a'#"#t of 'e#ng !(omoted de!ended ent#(e" on \*o6 \*e 6ou"d \$om!a(e 6#t\* t\*e ot\*e( \$and#dates #n t\*e \$ou(se of t\*e &e\$ond and T\*#(d e2a"uat#on (ounds.))

15B. 3n t\*#s (es!e\$t) t\*e \$(eat#on of t6o se!a(ate !oo"s of \$and#dates) ma"e and fema"e) \$(eates a f#(st d#ff#\$u"t #n assess#ng t\*e A!!"#\$antAs u"t#mate \$\*an\$e fo( !(omot#on. Be\$ause ma"e \$and#dates -tota""#ng 1>00 and fema"e \$and#dates -tota""#ng 1610 6e(e ne2e( \$om!a(ed aga#nst ea\$\* ot\*e() #t #s d#ff#\$u"t to assess \*o6 t\*e A!!"#\$ant <math>6ou"d \*a2e !e(fo(med #n a 6#de( !oo" of 331 \$and#dates) 6\*e(e on" 112 6e(e to ad2an\$e to t\*e T\*#(d , ound) and 56 <math>6e(e u"t#mate" to 'e se"e\$ted.

158. T\*e , es!ondentAs suggest#on t\*at t\*e A!!"#\$ant 6ou"d not \*a2e 'een se"e\$ted g#2en t\*at \*e 6as (an@ed 115 out of 1>0 #s !u(e" s!e\$u"at#2e) as t\*e \$and#dates a(e not g#2en a s\$o(e 'ut a (an@. = o(eo2e() 'e\$ause of t\*e 6#de d#2e(gen\$e of o!#n#on among t\*e !ane" mem'e(s #n t\*e#( assessment of \$and#dates) t\*e (an@#ngs t\*at t\*e A!!"#\$ant (e\$e#2ed #n a !oo" of 1>0 ma"e \$and#dates does not !(ed#\$ate t\*e one \*e 6ou"d \*a2e (e\$e#2ed #f a"a (ge( !oo" of 331 \$and#dates) no( t\*e one \*e 6ou"d \*a2e (e\$e#2ed #f \*#s \$and#da\$ \*ad 'een

0'''=142e'' (e2#e6ed ' t\*e &PP mem'e(s 6#t\*#n a !oo" of 112 \$and#dates #n t\*e T\*#(d , ound.

160. = ost #m!o(tant" ) t\*e T(#'una" #s of t\*e 2#e6 t\*at t\*e A!!"#\$antAs \$onso"#dated (an@#ng as esta'"#s\*ed ' t\*e D+, =) as 6e"" as t\*e #nd#2#dua" (an@#ngs!(o2#ded ' t\*e &PP mem'e(s) a(e so un(e"#a'"e t\*at t\*e \$annot se(2e as a 'as#s fo( \$ons#de(at#on of t\*e A!!"#\$antAs \$\*an\$e fo( !(omot#on. +o 6 6 ou"d t\*e A!!"#\$ant \*a2e 'een \$om!a(ed aga#nst t\*e ot\*e( \$and#dates #f t\*e !ane" mem'e(s \*ad 'een !(o2#ded 6#t\* \*#s e1PA-3..873046(\*)-0.95892931t

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(e2#e6 6as so f"a6ed t\*at #t #s #m!oss#'"e to as\$e(ta#n 6\*et\*e( #t 6ou"d "ead to fa#( and ade5uate \$ons#de(at#on of staff mem'e(sA \$and#da\$ fo( !(omot#on #f !(o!e(" #m!"emented.

16B. T\*e(efo(e) t\*e A!!"#\$antAs (e5uest fo( <math>s!e\$#f#\$ !e(fo(man\$e must 'e (e7e\$ted.

## A"te(nat#2e \$om!ensat#on

168. A(t. 10.5-a0 of t\*e T(#'una"As &tatute !(o2#des t\*at .6\*e(e) t\*e \$ontested adm#n#st(at#2e de\$#s#on \$on\$e(ns F !(omot#on F) t\*e D#s!ute T(#'una" s\*a"" a"so set an amount of \$om!ensat#on t\*at t\*e (es!ondent ma e"e\$t to !a as an a"te(nat#2e to t\*e (es\$#ss#on of t\*e \$ontested adm#n#st(at#2e de\$#s#on o( s!e\$#f#\$!e(fo(man\$e o(de(ed/. T\*e A!!"#\$ant soug\*t to a(gue t\*at as \*e \*ad 'een e"#m#nated f(om t\*e !(o\$ess !(#o( to a f#na" de\$#s#on 'e#ng made ' t\*e +#g\* Comm#ss#one() t\*e T(#'una" #s not (e5u#(ed to set an a"te(nat#2e \$om!ensat#on to (es\$#ss#on.

1>0. T\*e T(#'una" \$ons#de(s t\*at t\*#s a(gument #s 6#t\*out me(#t. 3t #s \$"ea( t\*at t\*e \$ontested de\$#s#on \$on\$e(ns a !(omot#on #((es!e\$t#2e of t\*e moment 6\*en t\*e A!!"#\$antAs \$and#da\$ fo( !(omot#on 6 as tu(ned do 6 n and) u"t#mate" ) (e7e\$ted. T\*e T(#'una" s\*a"" t\*e(efo(e set an amount of \$om!ensat#on t\*at t\*e , es!ondent ma e"e\$t to !a as an a"te(nat#2e to t\*e (es\$#ss#on of t\*e \$ontested adm#n#st(at#2e de\$#s#on) #n a\$\$o(dan\$e 6#t\* 10.5-a0 of #ts &tatute.

1>1. 3n \$a"\$u"at#ng t\*e ) t\*e A!!ea"s T(#'una" \*as st(essed t\*at t\*e dete(m#nat#on of t\*e .\$om!ensat#on #n "#eu/ must 'e done on a \$asel' 1\$ase 'as#s and \$a((#es a \$e(ta#n deg(ee of em!#(#\$#sm -see " . 20111UNAT12650. 3n (es!e\$t of de\$#s#ons den #ng !(omot#ons) #t fu(t\*e( \*e"d t\*at .t\*e(e #s no set 6a fo( a t(#a" \$ou(t to set damages fo( "oss of \$\*an\$e of !(omot#on and t\*at ea\$\* \$ase must tu(n on #ts fa\$ts/ - 20121UNAT1218) !a(a. 22I see a"so ( 20151UNAT16030.

1>2. 3n s#m#"a( \$ases #n20"2#ng (es\$#ss#on of de\$#s#ons den #ng !(omot#ons unde( UN+C , !(e2#ous !(omot#ons !o"#\$#es) t\*e T(# 'una" set t\*e amount of a"te(nat#2e !a ment to (es\$#ss#on to C+;B)000 -see / UNDT/2010/1>BI " UNDT/2008/0%%0) C+;8)000 -see . 2010!UNAT10>010 and C+;10)000 -see UNDT/2012/0810) ta@#ng #nto a\$\$ount t\*at t\*e a!!"#\$ants 6ou"d 'e e"#g#'"e aga#n to 'e \$ons#de(ed fo( !(omot#on t\*e fo""o 6#ng ea(.

1>3. A"ong t\*e same "#nes) t\*e A!!ea"s T(#'una") (e\$ent" a 6a(ded U&D10)000 fo( "oss of \$\*an\$e of !(omot#on as \$om!ensat#on #n "#eu of (es\$#ss#on) #n a \$ase 6\*e(e #t found t\*at t\*e !a(t#\$u"a( \$#(\$umstan\$es (ende(ed t\*e assessment mo(e \$om!"#\$ated t\*an usua". T\*e T(#'una") \$on\$"uded t\*at

6\*#\$\* #s .t\*e f#(st da of t\*e ann#2e(sa( mont\* t6o ea(s !(#o( to (et#(ement/) !u(suant to se\\$. 5.12.6 of t\*e P(omot#ons Po"#\\$ . +e 6#"" t\*us \*a2e suffe(ed no "oss of sa"a( . +o6e2e() #f \*e #s not !(omoted) \*e 6#"" not 'e a'"e to \$"a#m \$om!ensat#on un"ess \*e f#"es an a!!"#\$at#on 'efo(e t\*e T(#'una" \$ontest#ng t\*e ne 6 de\\$#s#on to den \*#m a !(omot#on.

1>>. &\*ou"d t\*e Adm#n#st(at#on \$\*oose to !a t\*e A!!"#\$ant \$om!ensat#on #n "#eu

#n e<\$e!t#ona" \$ases o(de( t\*e !a ment of a \*#g\*e( \$om!ensat#on) and s\*a"" !(o2#de t\*e (easons fo( t\*at de\$#s#on. -em!\*as#s added0

1B0. T\*e 5uest#on a(#ses as to 6\*et\*e( t\*e #nstant \$ase #s go2e(ned ' t\*#s amended 2e(s#on of t\*e T(#'una"As &tatute g#2en t\*at t\*e +#g\* Comm#ss#one(As de\$#s#on not to !(omote t\*e A!!"#\$ant !(edates t\*e amendment) 6\*e(eas \*#s de\$#s#on to (e7e\$t t\*e A!!"#\$antAs (e\$ou(se and t\*e !(esent a!!"#\$at#on 6\*e(e #ssued and f#"ed) (es!e\$t#2e" ) afte( t\*e amendment.

1B1. T\*e A!!"#\$ant a(gued t\*at \*#s (#g\*t to \$"a#m \$om!ensat#on fo( mo(a" damages a\$\$(ued at t\*e t#me of t\*e fundamenta" '(ea\$\* of \*#s su'stant#2e

1B3. 3t fo""o 6s f(om t\*#s !(o2#s#on t\*at 6\*en a staff mem'e( see@s (e\$ou(se) t\*e +#g\* Comm#ss#one(As de\$#s#on on \*#s o( \*e( !(omot#on 'e\$omes f#na" on" afte( su\$\* (e\$ou(se \*as 'een \$ons#de(ed. &#n\$e t\*e A!!"#\$ant soug\*t (e\$ou(se) t\*e +#g\* Comm#ss#one(As de\$#s#on \$on\$e(n#ng \*#s \$and#da\$ fo( !(omot#on to t\*e P15 "e2e" 6as f#na"#sed on" on 3 = a(\$\* 2015) e2en #f \*e (e7e\$ted t\*e A!!"#\$antAs (e\$ou(se. &\*ou"d t\*e \$ontested de\$#s#on 'e \$ons#de(ed as 'e#ng t\*e one announ\$ed on 20 9\$to'e( 201%) t\*e (e5uest fo( management e2a"uat#on 6ou"d \*a2e 'een t#me1 'a((ed -staff (u"e 11.20 and t\*e #nstant a!!"#\$at#on #((e\$e#2a'"e -a(t. B-10-d0-#0-'0 of t\*e T(#'una"As &tatuteI see , 2010IUNAT1036)

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1B8. Des!#te 'e#ng e<!(ess" #n2#ted ' t\*e T(#'una" to addu\$e e2#den\$e of mo(a" #n7u( du(#ng t\*e \*ea(#ng) t\*e A!!"#\$ant d#d not do so) \$"a#m#ng t\*at t\*e fundamenta" '(ea\$\* of \*#s ent#t"ements #n #tse"f ga2e (#se to a \$"a#m fo( mo(a" #n7u( .3n 2#e6 of t\*e fo(ego#ng) t\*#s a(gument must 'e (e7e\$ted.

180. T\*e T(#'una" \*as a"so \$a(efu"" e<am#ned t\*e !"ead#ngs and do\$uments su'm#tted ' t\*e A!!"#\$ant 'ut t\*e(e #s no a""egat#on of mo(a" \*a(m. T\*e on" a""egat#on #n t\*#s (es!e\$t #s to 'e found #n t\*e \$on\$"us#on of t\*e a!!"#\$at#on) 6\*e(e t\*e A!!"#\$ant \$"a#ms \$om!ensat#on fo( .'(ea\$\* of staff (#g\*ts and emot#ona" d#st(ess) !e( 20131UNAT1308 - 0 at !a(as 36-#0 o( -##0/. T\*#s a"one #s not suff#\$#ent to su'stant#ate a mo(a" #n7u( .

181. T\*e T(#'una" st(esses t\*at t\*e (e5u#(ement to addu\$e e2#den\$e of mo(a" #n7u( s\*ou"d not \$ome as a su(!(#se #n t\*e !(esent \$ase as #t 6as am!" de 'ated at t\*e \*ea(#ng) and t\*e A!!"#\$ant 6as !(o2#ded 6#t\* am!"e o!!o(tun#t#es to su'm#t an e2#den\$e #n t\*#s (es!e\$t) \*ad \*e 6#s\*ed to do so.

182. T\*e T(#'una" notes t\*at #t 6 ou"d (ea\$\* t\*e same \$on\$"us#on e2en #f #t 6 e(e to \$ons#de( t\*at t\*e (#g\*t to \$"a#m \$om!ensat#on fo( mo(a" damages a(ose f(om t\*e +#g\* Comm#ss#one(As #n#t#a" de\$#s#on on !(omot#ons of 20 9\$to'e( 201%) as #t #s of t\*e 2#e6 t\*at a!!"#\$at#ons f#"ed afte( t\*e !u'"#\$at#on date of t\*e amendment to a(t. 10.5 of t\*e T(#'una"As &tatute a(e go2e(ned ' #t.

18%. , eso"ut#on 68/203) 6\*# #nt(odu\$ed t\*e amendment to a(t. 10.5 of t\*e T(#'una"As &tatute) does not \$onta#n an !(o2#s#on as to t\*e moda"#t#es of #ts ent(#nto fo(\$e o( t(ans#t#ona" measu(es. H#@e6#se) ne#t\*e( t\*e T(#'una"As &tatute no( #ts , u"es of P(o\$edu(e \$onta#n an !(o2#s#on go2e(n#ng t\*e ent( #nto fo(\$e and a!!"#\$a'#"#t of \$\*anges to !(o\$edu(a" (u"es 'efo(e t\*e T(#'una".

185. 3n t\*#s \$onte<t) t\*e T(#'una" #s of t\*e 2#e6 t\*at !(o\$eed#ngs 'efo(e #t a(e #n !(#n\$#!"e go2e(ned ' t\*e !(o\$edu(a" (u"es #n fo(\$e at t\*e t#me of t\*e#( #nst#tut#on) un"ess e<!(ess" ot\*e(6#se !(o2#ded. 3n t\*#s (es!e\$t) t\*e T(#'una" notes t\*at #t #s gene(a"" (e\$ogn#4ed t\*at a!!" #ng .t\*e !(#n\$#!"e to !(o\$edu(a"

Judgment No. UNDT/2016/031

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